

Second Quarter 2014
Select Investor Monitoring Report
Prepared for
Oklahoma Municipal Retirement
Fund



SAXENA WHITE

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SELECT INVESTOR MONITORING REPORT – Q2 2014
Oklahoma Municipal Retirement Fund

Below please find your fund's detailed portfolio monitoring report for the second financial quarter of 2014. As always, your report includes two charts. First you will find a chart delineating class action securities fraud lawsuits first filed during the second quarter of 2014, whether by Saxena White or by other law firms. This chart contains a column entitled "Est. Class Period Gain (Loss)". This signifies that we have reviewed your positions in the securities of the defendant company prior to the time the alleged fraud began, reviewed all trading in such securities during the period of the fraud (the "class period"), and calculated any losses your fund may have suffered on a "first-in-first-out" or FIFO basis.¹

The second chart below contains a list of settled cases in which there are open deadlines for the filing of claims forms. This chart again contains a column entitled "Estimated Gain (Loss)", and we have again reviewed your positions in the securities of the defendant company and calculated any losses your fund may have suffered on a "first-in-first-out" basis

You will notice that we have added an additional column labeled "Eligibility" in both portions of the report. There are four possible classifications of the fund's eligibility to participate in a new or settled action:

Likely - The fund had one or more purchase transactions for an impacted security during the class period.

Possible - The fund had activity other than purchases for an impacted security during the class period.

Not Likely - The fund had no activity for an impacted security during the class period.

¹ As a general matter, we must advise you that our calculations are based on the trading records provided to us by your custodial bank, which date back only to 04/30/10. In making these calculations, and providing you this information, we have relied on the accuracy and completeness of those records.



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Unknown - There is not enough data for the fund loaded into the monitoring system to determine whether the fund was impacted by a case or settlement. Please contact your prior custodian to obtain the necessary data.

Based on the data in our possession, which is limited by the scope of the data available from the current custodial bank, we sometimes cannot ascertain with complete certainty the fund's position in an action. For this reason, the "Eligibility" classifications provide the fund with more information than our prior reports in evaluating the probability of eligibility. Although presented in both the new actions and settled actions charts, this classification is of most value to the funds in the settled cases context because your custodial bank can search its archives (or that of your prior custodian) to insure that they file the necessary claim form. To the extent there have been any imminent deadlines for cases in which your fund has suffered a loss, and which deadlines would have expired before your receipt of this report, we have already contacted you and/or your custodial bank.



Q2, 2014 Securities Class Action New Action Eligibility Report

Oklahoma Municipal Retirement Fund

Company	Ticker (Security ID)	Class Period	Lead Plaintiff Deadline	Eligibility	Est. Class Period Gain(Loss)
Powersecure International, Inc.	POWR (2263340, 73936N105, US73936N1054)	08/08/13 - 05/07/14	07/22/14	Not Likely	-
Advanced Emissions Solutions, Inc.	ADES (005208103, 00770C101, 2921530, BBXZ194, US0052081033, US00770C1018)	03/14/13 - 04/23/14	06/30/14	Not Likely	-
Allied Nevada Gold Corporation	ANV (019344100, B1VPN45, B1XF7Z2, US0193441005)	01/18/13 - 08/05/13	06/02/14	Not Likely	-
Annie's, Inc.	BNNY (03600T104, B73CG25, B7QKT50, US03600T1043)	08/08/13 - 06/03/14	08/11/14	Likely	(\$25,398.29)
Apollo Education Group, Inc.	APOL (037604105, 2043694, US0376041051)	10/19/11 - 04/01/14	06/23/14	Likely	(\$12,993.14)
Asia Packaging Group, Inc. (Alberta, Canada)	APX (045201100, B601F1V4, CA0452011004)	04/26/11 - 11/06/13		Not Likely	-
Asia Packaging Group, Inc. (British Columbia, Canada)	APX (045201100, B601F1V4, CA0452011004)	04/26/11 - 11/06/13		Not Likely	-
Axesstel, Inc.	AXST (05459T101, 2984522, US05459T1016)	02/25/13 - 03/31/14	06/23/14	Not Likely	-
Barrick Gold Corporation	ABX (0028369, 067901108, 2024644, 2024677, 4027403, 4514530, B0K3NG5, B4WVYD9, B5BMNX3, CA0679011084, ED6889106, EH7645474, EH7645474, EI6903906, EI6911701, EI7766013, EJ1138530, EJ1147135, EJ2030967, EJ2031411, EJ6568178, EJ6568228, EJ6581429, EJ6581676, EJ8001491, EJ8001798, EJ8001798, US067901AA64, US067901AB48, US067901AB48, US067901AD04, US067901AF51, US067901AH18, US067901AJ73, US067901AL20, US067901AM03, US067901AN85, US067901AP34, US067901AQ17, US067901AQ17, USC03420AB95, USC03420AD51, ...)	05/07/09 - 11/01/13		Unknown	-

Barrick Gold Corporation	ABX (0028369, 067901108, 2024644, 2024677, 4027403, 4514530, B0K3NG5, B4WVYD9, B5BMNX3, CA0679011084, ED6889106, EH7645474, EH7645474, EI6903906, EI6911701, EI7766013, EJ1138530, EJ1147135, EJ2030967, EJ2031411, EJ6568178, EJ6568228, EJ6581429, EJ6581676, EJ8001491, EJ8001798, EJ8001798, US067901AA64, US067901AB48, US067901AB48, US067901AD04, US067901AF51, US067901AH18, US067901AJ73, US067901AL20, US067901AM03, US067901AN85, US067901AP34, US067901AQ17, US067901AQ17, US067901AQ17, USC03420AB95, USC03420AD51, ...)	05/07/09 - 05/23/13		Unknown	-
Barrick Gold Corporation (Ontario, Canada)	ABX (0028369, 067901108, 2024644, 2024677, 4027403, 4514530, B0K3NG5, B4WVYD9, B5BMNX3, CA0679011084, ED6889106, EH7645474, EH7645474, EI6903906, EI6911701, EI7766013, EJ1138530, EJ1147135, EJ2030967, EJ2031411, EJ6568178, EJ6568228, EJ6581429, EJ6581676, EJ8001491, EJ8001798, EJ8001798, US067901AA64, US067901AB48, US067901AB48, US067901AD04, US067901AF51, US067901AH18, US067901AJ73, US067901AL20, US067901AM03, US067901AN85, US067901AP34, US067901AQ17, US067901AQ17, USC03420AB95, USC03420AD51, ...)	05/07/09 - 11/01/13		Unknown	-
Biozoom, Inc.	BIZM (09072T100, B9GBXF5, US09072T1007)	05/16/13 - 06/25/13	07/21/14	Not Likely	-
Blucora, Inc.	BCOR (095229100, 095229AA8, B8L2DV2, B9LVK82, US0952291005, US095229AA86)	11/05/13 - 02/20/14	07/14/14	Not Likely	-
CannaVEST Corp.	CANV (137653101, B965FY0, US1376531018)	05/20/13 - 04/03/14	06/23/14	Not Likely	-
Chelsea Therapeutics International, Ltd.	CHTP (163428105, B0FP8W7, US1634281059)	05/08/14 - 05/08/14	08/01/14	Not Likely	-
China Agritech Inc.	CAGC (16937A200, B018QX, B291LQ0, US16937A2006)	11/12/09 - 03/11/11	09/03/14	Unknown	-
China Ceramics Co., Ltd.	CCCL (B6294J3, G2113X100, VGG2113X1006)	03/30/12 - 05/01/14	08/05/14	Not Likely	-

China Mobile Games & Entertainment Group, Ltd.	CMGE (16952T100, B7QJQM3, US16952T1007)	09/20/12 - 06/19/14	08/19/14	Not Likely	-
ChinaCast Education Inc.	CAST (16946T109, B00M265, US16946T1097)	04/18/09 - 04/19/12	06/17/14	Unknown	-
Cliffs Natural Resources, Inc.	CLF (18683K101, 18683K408, 18683KAA9, 18683KAA9, 18683KAB7, 18683KAC5, 18683KAC5, 18683KAD3, 18683KAF8, 2202707, US18683K1016, US18683K4085, US18683KAA97, US18683KAA97, US18683KAB70, US18683KAC53, US18683KAC53, US18683KAD37, US18683KAF84)	03/14/12 - 03/26/13	07/11/14	Possible	\$0.00
Covisint Corporation	COVS (22357R103, BDVOKZ4, US22357R1032)	09/23/13 - 05/30/14	07/29/14	Not Likely	-
Dell Inc.	DELL (2261526, 24702R101, US24702R1014)	02/22/12 - 05/22/12	07/21/14	Likely	(\$12,778.09)
Detour Gold (Canada)	DGC (250669108, B1PMQ65, CA2506691088)	04/09/13 - 11/07/13		Not Likely	-
Doral Financial Corporation	DRL (25811P852, 25811P886, BBTB356, PR25811P8521, PR25811P8869)	04/02/12 - 05/01/14	07/14/14	Not Likely	-
Endocyte, Inc.	ECYT (29269A102, B40DYZ9, US29269A1025)	03/21/14 - 05/02/14	08/25/14	Not Likely	-
Forest Oil Corporation	FST (2712121, 346091705, US3460917053)	05/06/14 - 05/06/14	08/15/14	Not Likely	\$0.00
Genworth Financial, Inc.	GNW (37247D106, 37247DAB2, 37247DAE6, 37247DAF3, 37247DAG1, 37247DAJ5, 37247DAK2, 37247DAL0, 37247DAM8, 37247DAN6, 37247DAP1, 37247XAD4, 37247XAF9, 37247XAH5, 37247XAJ1, 37247XAK8, 37247XAL6, 37248JAA0, 37248JAB8, 37248JAC6, 37248JAD4, 37248JAE2, 37248JAF9, 37248JAG7, 37248JAH5, 37248JAJ1, 37248JAK8, 37248JAL6, 37248JAM4, 37248JAN2, 37248JAP7, 37248JAQ5, 37248JAR3, 37248JAS1, 37248JAT9, 37248JAU6, 37248JAV4, 37248JAW2, 37248JAX0, 37248JAY8, 37248JAZ5, 37248JBA9, 37248JBB7, 37248JBC5, 37248JBD3, ...)	02/03/12 - 04/17/12	06/03/14	Likely	(\$5,448.72)
GrowLife, Inc.	PHOT (39985X104, B8N1JT7, US39985X1046)	11/14/13 - 04/09/14	06/17/14	Not Likely	-

Hanfeng Evergreen Inc.	HF (2743433, 410347108, B03K125, CA4103471088)	02/01/13 - 01/15/14		Not Likely	-
Herbalife Ltd.	HLF (42703MAA1, B0539H3, BJSP4W9, G4412G101, KYG4412G1010, US42703MAA18)	05/04/10 - 04/11/14	06/13/14	Likely	(\$31,326.19)
Hertz Global Holdings, Inc.	HTZ (428040BJ7, 428040BW8, 428040CD9, 428040CE7, 428040CG2, 428040CJ6, 428040CN7, 428040CP2, 428040CR8, 42805T105, B1HHJP8, B1HKTD5, EI4971566, US428040BJ78, US428040BW89, US428040CD99, US428040CE72, US428040CG21, US428040CJ69, US428040CN71, US428040CP20, US428040CR85, US42805T1051, USU42804AG62)	02/22/12 - 06/06/14	08/15/14	Not Likely	-
High Frequency Trading Litigation	-	04/18/09 - 04/18/14	06/17/14	Unknown	-
Higher One Holdings, Inc.	ONE (42983D104, B575RZ6, US42983D1046)	08/07/12 - 05/12/14	07/28/14	Not Likely	-
Imperva, Inc.	IMPV (45321L100, B523R55, US45321L1008)	05/02/13 - 04/09/14	06/10/14	Not Likely	-
Infoblox, Inc.	BLOX (45672H104, B7TWX51, US45672H1041)	09/05/13 - 05/29/14	07/29/14	Not Likely	-
Insys Therapeutics, Inc.	INSY (45824V209, B4QRG71, B4YXNL4, US45824V2097)	05/01/13 - 05/08/14	07/14/14	Not Likely	-
Jenn Feng New Energy Co., Ltd. (Taiwan)	(6140988, 6140988, B02WFP3, TW0004516000, Y4439L109, Y4439L109)	03/19/12 - 03/30/12	04/30/14	Not Likely	-
KBR, Inc.	KBR (48242W106, B1HHB18, B1HHB29, US48242W1062)	04/25/13 - 05/05/14	07/08/14	Not Likely	-
Kansas City Southern	KSU (2607647, 485170302, US4851703029)	10/18/13 - 02/18/14	06/16/14	Possible	\$0.00
Lihua International Inc.	LIWA (532352101, B41C3F8, US5323521014)	08/09/12 - 04/30/14	06/30/14	Not Likely	-
Macmahon Holdings Ltd. (Australia)	MAH (6551160, AU000000MAH3, B063G60, B073JD7, Q56970132)	05/02/12 - 09/18/12	07/18/14	Not Likely	-
Ocean Power Technologies, Inc.	OPTT (674870308, B1WG4Z5, US6748703088)	01/14/14 - 06/09/14	08/12/14	Not Likely	-
Och-Ziff Capital Management Group, LLC	OZM (67551U105, B28ZP05, B2971T1, US67551U1051)	02/09/12 - 04/25/14	07/07/14	Likely	\$135,329.33

OvaScience, Inc.	OVAS (69014Q101, B7N0T64, US69014Q1013)	02/25/13 - 09/10/13	08/05/14	Not Likely	-
PetroLogistics LP	PDH (71672U101, B3YQ703, US71672U1016)	05/28/14 - 05/28/14	09/15/14	Not Likely	-
Ply Gem Holdings, Inc.	PGEM (72941W100, B99KCV8, US72941W1009)	05/20/13 - 05/19/14	07/18/14	Not Likely	-
Prospect Capital Corporation	PSEC (74348T102, 74348T201, 74348TAA0, 74348TAB8, 74348TAC6, 74348TAD4, 74348TAJ1, 74348TAK8, 74348TAL6, 74348TAM4, 74348TAN2, 74348TAP7, 74348YAA9, 74348YAB7, 74348YAC5, 74348YAD3, 74348YAE1, 74348YAF8, 74348YAG6, 74348YAH4, 74348YAJ0, 74348YAK7, 74348YAL5, 74348YAM3, 74348YAN1, 74348YAP6, 74348YAQ4, 74348YAR2, 74348YAS0, 74348YAT8, 74348YAU5, 74348YAV3, 74348YAW1, 74348YAX9, 74348YAY7, 74348YAZ4, 74348YBA8, 74348YBB6, 74348YBC4, 74348YBD2, 74348YBE0, 74348YBF7, 74348YBG5, 74348YBH3, 74348YBJ9, ...)	09/14/09 - 05/06/14	07/28/14	Unknown	-
Provectus Biopharmaceuticals, Inc.	PVCT (2874225, 74373F100, 74373P108, BJ7C0X4, BJB2319, EP0440487, US74373F1003, US74373P1084)	12/17/13 - 05/22/14	07/28/14	Not Likely	-
QBE Insurance Group Limited (Australia)	QBE (6715740, AU000000QBE9, B02PBK9, B1BDD72, BHZLQG4, Q78063114)	08/20/13 - 12/06/13	07/31/14	Not Likely	-
Regional Management Corporation	RM (75902K106, B719DK5, US75902K1060)	09/20/13 - 05/30/14	07/29/14	Not Likely	-
Ruby Tuesday, Inc.	RT (2757616, 781182100, B0Z52L4, US7811821005)	04/11/13 - 10/09/13	07/07/14	Not Likely	-
Safeway Inc.	SWY (2767165, 786514208, US7865142084)	03/06/14 - 03/06/14	07/14/14	Not Likely	\$0.00
TelexFree, Inc.	-	01/01/12 - 05/14/14	07/14/14	Not Likely	-
The ADT Corporation	ADT (00101J106, B7XWRM2, US00101J1060)	11/27/12 - 01/29/14	06/27/14	Likely	(\$2,522.16)
Vertex Pharmaceuticals Inc.	VRTX (2931034, 92532F100, US92532F1003)	05/07/12 - 05/29/12	07/28/14	Not Likely	\$0.00

World Acceptance Corporation

WRLD (2981181, 981419104,
US9814191048)

04/25/13 - 03/12/14

06/23/14

Not Likely

-

* The loss calculation for this class action may be incorrect due to incomplete transactional data.
NC indicates the gain/loss could not be computed.



Q2, 2014 Securities Class Action Settlement Eligibility Report - Oklahoma Municipal Retirement Fund

Company	Security ID	Class Period	Settlement Amount	Claims Deadline	Eligibility	Estimated Gain(Loss)
Advanta (RediReserve Notes)	007942105, 007942204, 2008518, 2869135, 5777451, 7038673, US0079421055, US0079422046	02/28/08-11/08/09	\$3,550,000.00	09/23/14	Unknown	-
Advanta Corp.	007942105, 007942204, 2008518, 2869135, 5777451, 7038673, US0079421055, US0079422046	10/16/06-01/30/08	\$13,250,000.00	08/18/14	Unknown	-
Aeropostale, Inc.	007865108, 2872092, B1BBX286, US0078651082	03/11/11-08/18/11	\$15,000,000.00	06/20/14	Not Likely	-
Alange Energy Corporation (nka Petromagdalen Energy Corp.)	011625100, 2153946, B3BGSG9, B52D4Q5, CA0116251004	08/30/10-01/12/11	\$8,053,695.00	04/10/14	Not Likely	-
American Apparel, Inc.	023850100, 292577103, B10R4D8, US0238501003, US2925771030	11/28/07-08/17/10	\$4,800,000.00	09/02/14	Unknown	-
American Superconductor Corporation	030111108, 2032722, 5579099, US0301111086	07/29/10-07/11/11	\$10,000,000.00	04/07/14	Not Likely	-
Anadarko Petroleum Corporation	032479AC1, 032479AD9, 032510AC3, 032511107, 032511AH0, 032511AJ6, 032511AK3, 032511AL1, 032511AM9, 032511AN7, 032511AT4, 032511AU1, 032511AX5, 032511AY3, 032511BB2, 032511BC0, 032511BD8, 032511BE6, 032511BF3, 032511BG1, 2032380, 2033543, 2273673, 2293165, 2409252, 2417299, 2486664, 2638216, 2797377, 2798938, 2804813, 2806659, 2894676, 2988018, 492386AK3, 492386AS6, 492386AT4, 492386AU1, 907834AB1, 907834AC9, 907834AF2, 907834AG0, 907834AJ4, B020999, B1FGZH1, B1FGZM6, B4RLJF1, B56PC18, B57JYH9, ...	06/12/09-06/09/10	\$12,500,000.00	11/08/14	Likely	(\$2,334.86)*
Austin Capital Management Ltd. (Madoff Investments)	-	01/02/05-12/11/08	\$6,850,000.00	08/31/14	Unknown	-
Chanticleer Holdings, Inc.	15930P404, B8DTGC3, US15930P4046	06/18/12-02/19/13	\$850,000.00	07/24/14	Not Likely	-
Chemed Corp.	16359R103, 2910084, B017W7, US16359R1032	02/15/10-05/02/13	\$6,000,000.00	08/08/14	Unknown	-
China Green Agriculture, Inc.	16943W105, 2271149, B3KFYN6, US16943W1053	05/12/09-01/04/11	\$2,500,000.00	07/09/14	Unknown	-

China Valves Technology, Inc.	169476207, 2955841, B011CN8, US1694762071	01/12/10-01/13/11	\$1,500,000.00	08/05/14	Unknown	-
Ciber, Inc.	17163B102, 2200240, US17163B1026	12/15/10-08/03/11	\$3,000,000.00	04/09/14	Not Likely	-
Citigroup Inc. (Voluntary FA Capital Accumulation Program)		11/01/06-06/30/09	\$8,500,000.00	05/03/14	Unknown	-
City of Monticello Telecommunications Revenue Bonds Series 2008	614491AA6, 614491AB4, US614491AA69, US614491AB43	06/19/08-05/31/12	\$5,750,000.00	08/18/14	Unknown	-
CNinsure Inc.	18976M103, B28V2G8, US18976M1036	03/02/10-11/21/11	\$6,625,000.00	07/09/14	Unknown	-
Cybox International	23252E106, 2537777, B1HPYZ7, US23252E1064	10/17/12-02/07/13	\$1,830,000.00	06/20/14	Not Likely	-
Diebold, Inc.	2269229, 253651103, 5345739, EI1556576, EI1556691, EI1556733, US2536511031	06/30/05-01/14/08	\$31,600,000.00	04/21/14	Unknown	-
Ebix, Inc.	2008400, 278715206, B015FF3, US2787152063	05/06/09-06/30/11	\$6,500,000.00	06/04/14	Unknown	-
Epicor Software Corporation	2691899, 29426L108, US29426L1089	05/16/11-05/16/11	\$18,000,000.00	09/12/14	Not Likely	-
FindWhat.com (MIVA)	317794105, 55311R108, US3177941057, US55311R1086	02/23/05-05/04/05	\$2,400,000.00	09/05/14	Unknown	-
First Regional Bancorp	2383992, 33615C101, B018712, US33615C1018	01/30/07-01/29/10	\$5,500,000.00	08/08/14	Unknown	-
Focus Media Holding Limited	34415V109, B0CRR96, US34415V1098	11/20/07-11/21/11	\$3,700,000.00	08/01/14	Unknown	-
Genoptix, Inc.	37243V100, B28G0Z8, B29LH17, US37243V1008	07/31/09-06/16/10	\$7,700,000.00	07/07/14	Unknown	-
Gerova Financial Group Ltd.	B2NS532, B2PN1Q0, B2PN308, B3XMJ40, B4KT0R1, B4M42Z6, B5LGF28, B5T2JQ0, B61Y7C3, B62DM02, B6374X0, BMG384901010, BMG384901358, BMG384901432, BMG384901762, BMG384902000, G38490200, KYG0538M1050, KYG0538M1134, KYG0538M1217, KYG384901016, KYG384901198, KYG384901271, KYG384901354, KYG384901438	01/08/10-02/23/11	\$1,372,000.00	05/20/14	Unknown	-
GMX Resources Inc.	2741589, 38011M108, US38011M1080	05/13/09-05/12/11	\$2,700,000.00	09/08/14	Unknown	-
Heckmann Corporation	422680108, 67091K104, 67091K203, B29HJ97, B39KN02, HS4226801086, US67091K1043, US67091K2033	05/20/08-05/08/09	\$27,000,000.00	08/18/14	Unknown	-
Hewlett-Packard Company	2422006, 428236103, B02X2R0, US4282361033	11/22/10-08/18/11	\$57,000,000.00	09/16/14	Possible	\$0.00
Hospira, Inc.	441060100, B00PWW9, US4410601003	02/04/10-10/17/11	\$60,000,000.00	07/21/14	Likely	\$8,751.85*
InfoGROUP, Inc.	2512213, 45670G108, US45670G1085	08/20/08-07/01/10	\$13,000,000.00	06/06/14	Unknown	-

International Textile Group, Inc.	46048V108, 786474205, B0117S8, US46048V1089, US7864742058	10/20/06-10/20/06	\$10,000,000.00	06/16/14	Unknown	-
J.P. Morgan Acceptance Corporation (Mortgage Pass-Through Certificates and Asset-Backed Pass-Through)	466247E33, 466247E41, 466247E58, 466247E66, 466247E74, 466247E82, 466247E90, 466247F24, 466247F40, 466247F57, 466247F65, 466247F73, 466247F81, 466247F99, 466247G23, 466247G31, 46627MCS4, 46627MCT2, 46627MCU9, 46627MCV7, 46627MCW5, 46627MCX3, 46627MCY1, 46627MCZ8, 46627MDA2, 46627MDB0, 46627MDC8, 46627MDD6, 46627MDE4, 46627MDF1, 46627MDG9, 46627MDH7, 46627MDJ3, 46627MDK0, 46627MDL8, 46627MDM6, 46627MDN4, 46627MDP9, 46627MDX2, 46627MDY0, 46627MDZ7, 46627MEA1, 46627MEB9, 46627MEC7, 46627MED5, ...	07/29/05-07/24/09	\$280,000,000.00	09/06/14	Unknown	-
Kosmos Energy Ltd.	B4KGGJ6, B53HHH8, BMG5315B1072, G5315B107	05/09/11-01/10/12	\$10,200,000.00	08/18/14	Not Likely	-
KV Pharmaceutical Company	2164614, 2487634, 2498410, 482740107, 482740206, 482740305, 4827403050, 482740AB3, 482740AC1, B043D83, B1BYFM4, US4827401070, US4827402060, US482740AC19	06/15/04-01/23/09	\$12,800,000.00	06/19/14	Unknown	-
Lehman Brothers Holdings, Inc.	524908100, 524908100, 5249087M6, 5249087N4, 524908J92, 524908J92, 524908K25, 524908R36, 524908R44, 524908VP2, 524908VQ0, 524935129, 52517P2P5, 52517P3H2, 52517P4C2, 52517P4C2, 52517P4N8, 52517P4T5, 52517P4Y4, 52517P5K3, 52517P5X5, 52517P5Y3, 52517PX63, 52517PY21, 52517PY62, 52517PY70, 52519FFE6, 52519FFM8, 52520W218, 52520W218, 52520W218, 52520W283, 52520W317, 52520W325, 52520W333, 52520W341, 52520W358, 52520W390, 52520W440, 52520W515, 52520W549, 52520W556, 52520W564, 52522L129, 52522L137, ...	06/12/07-09/15/08	\$99,000,000.00	04/17/14	Unknown	-
Lime Energy Co.	53261U205, B16TVG0, B17FQS4, US53261U2050	05/14/08-12/27/12	\$2,500,000.00	06/12/14	Unknown	-
Massey Energy Company	2343662, 576206106, US5762061068	02/01/08-07/27/10	\$265,000,000.00	07/03/14	Likely	\$1,438.15*
NeurogesX, Inc.	641252101, B1WT4N2, B1WT4Z4, US6412521014	07/21/11-07/21/11	\$1,250,000.00	08/05/14	Not Likely	-
New Oriental Education & Technology Group Inc.	647581107, B1CN1G6, US6475811070	10/19/09-07/17/12	\$4,500,000.00	11/05/14	Unknown	-
Novatel Wireless, Inc.	2051608, 66987M604, B015HH9, US66987M6049	02/27/07-09/15/08	\$16,000,000.00	06/30/14	Unknown	-
Oclaro, Inc.	67555N206, B01W5C1, B02THY7, US67555N2062	05/06/10-10/28/10	\$3,700,000.00	08/13/14	Not Likely	-
Olympus Corporation	2658719, 68163W109, US68163W1099	05/08/07-11/07/11	\$2,603,500.00	05/24/14	Unknown	-
Oppenheimer AMT-FREE Municipals Fund	683977102, 683977201, 683977300, B00QYB6, US6839771028, US6839772018, US6839773008	05/13/06-10/21/08	\$17,109,000.00	08/28/14	Unknown	-
Oppenheimer AMT-Free New York Municipal Fund	683913107, 683913206, 683913305, B06114, US6839131074, US6839132064, US6839133054	05/21/06-10/21/08	\$4,241,000.00	08/28/14	Unknown	-

Oppenheimer Champion and Oppenheimer Core (Classes A, B, C, N or Y)	682944102, 683944201, 683944300, 683944409, 683944508, 683969109, 683969208, 683969307, 683969604, 01/28/08-12/31/08 683969703		\$35,366,000.00	07/20/14	Unknown	-
Oppenheimer New Jersey Municipal Fund	2190761, 683940506, 683940605, 683940803, 683940878, US6839405064, US6839406054, US6839408035, US6839408787	04/24/06-10/21/08	\$3,374,000.00	08/28/14	Unknown	-
Oppenheimer Pennsylvania Municipal Fund	683940100, 683940209, 683940704, B5986K1, B6VLG50, B78SP21, US6829402095, US6839401006, US6839407045	09/27/06-11/26/08	\$4,341,000.00	08/28/14	Unknown	-
Oppenheimer Rochester Fund Municipals	2744425, 771362100, 771362209, 771362308, 771362407, B7ZN6R1, B7ZN6S2, B7ZN6T3, US7713621008, US7713622097, US7713623087, US7713624077	02/26/06-10/21/08	\$33,585,000.00	08/28/14	Unknown	-
Oppenheimer Rochester National Municipals Fund	683940308, 683940407, 683940886, B2NGDR6, US6839403085, US6839404075, US6839408860	03/13/06-10/21/08	\$26,850,000.00	08/28/14	Unknown	-
Orchard Enterprises, Inc.	-	03/15/10-07/29/10	\$10,725,000.00	08/12/14	Unknown	-
Protective Products of America, Inc.	74367R102, B3CH1M8, B3CH1N9, US74367R1023	10/08/09-01/13/10	\$3,500,000.00	04/05/14	Unknown	-
Radiant Pharmaceuticals Corporation	750341109, B1FPJS7, B1FPSF7, US7503411099	01/18/11-03/04/11	\$2,500,000.00	04/16/14	Not Likely	-
Satcon Technology Corporation	2777852, 5964446, 803893106, US8038931064	08/05/10-08/10/11	\$3,000,000.00	05/19/14	Not Likely	-
Smith Barney Funds	2015369, 2284426, 2284426, 2450528, 2459138, 2459138, 317609139, 52467P788, 52467P796, 52467P812, 52468A749, 52468C109, 52468C208, 52468C307, 52468C406, 52468E105, 52468E204, 52468E303, 52468E402, 52468W105, 52468W204, 52468W303, 52468W402, 52469A102, 52469A201, 52469A300, 52469C108, 52469C207, 52469C306, 52469F275, 52469F283, 52469F291, 52469F317, 52469F556, 52469F564, 52469F572, 52469F580, 52469F721, 52469F747, 52469F754, 52469G539, 52469G547, 52469H594, 52469H594, 52469H610, 52469H610, ...	09/11/00-06/24/04	\$4,950,000.00	06/25/14	Unknown	-
Stewardship Credit Arbitrage Fund	-	02/06/06-09/25/08	\$208,600.00	06/27/14	Unknown	-
Stewardship Credit Arbitrage Fund	-	02/06/06-09/25/08	\$3,025,000.00	06/27/14	Unknown	-
Stewardship Credit Arbitrage Fund	-	02/06/06-09/25/08	\$15,000.00	06/27/14	Unknown	-
Stillwater Capital Partners	-	12/23/09-01/20/10	\$2,058,000.00	06/16/14	Unknown	-
Swisher Hygiene Inc.	870808102, B5OQZ76, US8708081026	03/01/11-03/28/12	\$5,500,000.00	08/01/14	Not Likely	-
Textron, Inc. / Harman International Industries, Inc.	2411138, 2885937, 413086109, 883203101, US4130861093, US8832031012	04/09/09-07/20/09	\$6,228,728.61	06/30/14	Possible	NC
UniTek Global Services, Inc.	91324T302, B3R0VP5, US91324T3023	05/18/11-04/12/13	\$1,550,000.00	07/11/14	Not Likely	-

Weatherford International Ltd.	003883839, 947074100, B5KL6S7, CH0038838394, H27013103, NC8343278	04/25/07-03/01/11	\$52,500,000.00	08/19/14	Unknown	-
WMS Industries Inc.	2931454, 5692167, 929297109, US9292971093	09/21/10-08/04/11	\$3,700,000.00	05/05/14	Likely	(\$49,854.27)
Yuhe International, Inc.	988432100, B016HR2, B5MJ1N9, US9884321004	12/31/09-06/17/11	\$2,700,000.00	07/31/14	Unknown	-

* The loss calculation for this class action may be incorrect due to incomplete transactional data.
NC indicates the gain/loss could not be computed.